

#17/RCE

PTO/SB/30(08-00)

Approved for use through 10/31/2002. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000, provides for continued examination of a utility or plant application filed on or after June 8, 1995. See the American Inventors Protection Act of 1999 (AIPA).

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.
NOTE: 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53(d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

Application No. 09/436,092
 Filing Date November 8, 1999
 First Named Inventor Ebrahim Andideh et al.
 Group Art Unit 3723
 Examiner Name McDonald, Shantese L.
 Attorney Docket No. 042390P3955D

1. Submission required under 37 C.F.R. § 1.114

- a. ☐ Previously submitted
- i. ☐ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on _____
 (Any unentered amendment(s) referred to above will be entered).
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____
- iii. ☐ Other _____
- b. ☒ Enclosed
- i. ☒ Preliminary Amendment
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other _____

2. Miscellaneous

- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months. Fee under 37 C.F.R. § 1.17(i) required)
- b. ☐ Other _____

3. Fees The RCE fee under 37 C.F.R. § 1.17(e) is required by C.F.R. § 1.114 when the RCE is filed.

- a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 02-2666
- i. ☒ RCE fee required under 37 C.F.R. § 1.17(e)

- ii. ☐ Extension of time fee (37 C.F.R. §§ 1.136 and 1.17)

☒ Pursuant to 37 C.F.R. 1.136(a)(3), applicant(s) hereby request and authorize the U.S. Patent and Trademark Office to (1) treat any concurrent or future reply that requires a petition for extension of time as incorporating a petition for extension of time for the appropriate length of time and (2) charge all required fees, including extension of time fees and fees under 37 C.F.R. 1.16 and 1.17, to Deposit Account No. 02-2666.

- iii. ☐ Processing fee under 37 CFR § 1.17(i) for Limited Suspension of Action

- iv. ☐ Other _____

- b. ☒ Check in the amount of \$ 750.00 enclosed

- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

10/04/01

Please type a plus sign (+) inside this box [+]

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print/Type) George ChenRegistration No. (Attorney/Agent) 50,807Signature Date February 7, 2003

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, Box RCE, Washington, D.C. 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on:

Name (Print/Type) Teresa EdwardsSignature Date February 7, 2003

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A Partnership Including

Date: 8/8/2003

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Deliver to: Examiner McDonald, Shantese

Fax No. (703) 872-9303

FROM BSTZ:

From: Michael A. Bernadicon

Operator: Teresa Edwards

Page 1 of 12

To Firm: UNITED STATES PATENT AND TRADEMARK OFFICE

Phone: (703) 308-8722

Your Ref: 09/436,092

Our Ref: 042390.P3955D

Title: POLISH PAD WITH NON-UNIFORM
GROOVE DEPTH TO IMPROVE WAFER
POLISH RATE UNIFORMITY

Message:

Dear Examiner :

Please find enclosed a copy of the postcard postmarked February 14, 2003 and the RCE and Preliminary Amendment filed February 7, 2003 for the above referenced case.

Please do not hesitate to contact George Chen with any questions or comments.

Very truly yours,

Teresa K. Edwards
Secretary to George Chen
(408) 720-8300

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BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
LOS ANGELES

Serial/Patent No. 09/436,092 Filing/Issue Date: November 8, 1999
 Client: Intel Corporation
 Title: POLISH PAD WITH NON-UNIFORM GROOVE DEPTH TO IMPROVE WAFER
POLISH RATE UNIFORMITY
 BSTZ File No.: 042390P3955D Atty/Sec'y Initials: MAB/GCI/te
 Date Mailed: February 7, 2003 Docket Due Date: February 7, 2003

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

<input type="checkbox"/> Amendment/Response (____ pgs.)	<input type="checkbox"/> Express Mail No.:	<input type="checkbox"/> Check No. <u>5588</u>
<input type="checkbox"/> Appeal Brief (____ pgs.) (in triplicate)	<input type="checkbox"/> _____ Month(s) Extension of Time	Am: <u>\$750.00</u>
<input type="checkbox"/> Application - Utility (____ pgs., with cover and abstract)	<input type="checkbox"/> Information Disclosure Statement & PTO-1449 (____ pgs.)	<input type="checkbox"/> Check No. _____
<input type="checkbox"/> Application - Rule 1.53(b) Continuation (____ pgs.)	<input type="checkbox"/> Issue Fee Transmittal	Am: _____
<input type="checkbox"/> Application - Rule 1.53(b) Divisional (____ pgs.)	<input type="checkbox"/> Notice of Appeal	
<input type="checkbox"/> Application - Rule 1.53(b) CIP (____ pgs.)	<input type="checkbox"/> Petition for Extension of Time	
<input type="checkbox"/> Application - Rule 1.53(d) CPA Transmittal (____ pgs.)	<input type="checkbox"/> Petition for _____	
<input type="checkbox"/> Application - Design (____ pgs.)	<input checked="" type="checkbox"/> Postcard	
<input type="checkbox"/> Application - PCT (____ pgs.)	<input type="checkbox"/> Power of Attorney (____ pgs.)	
<input type="checkbox"/> Application - Provisional (____ pgs.)	<input checked="" type="checkbox"/> Preliminary Amendment (____ 8 ____ pgs.)	
<input type="checkbox"/> Assignment and Cover Sheet	<input type="checkbox"/> Reply Brief (____ pgs.)	
<input checked="" type="checkbox"/> Certificate of Mailing	<input type="checkbox"/> Response to Notice of Missing Parts	
<input type="checkbox"/> Declaration & PQA (____ pgs.)	<input type="checkbox"/> Small Entity Declaration for Indep. Inventor/Small Business	
<input type="checkbox"/> Disclosure Docs & Orig & Copy of Inventor's Signed Letter (____ pgs.)	<input type="checkbox"/> Transmittal Letter, in duplicate	
<input type="checkbox"/> Drawings: ____ # of sheets includes ____ figures	<input type="checkbox"/> Fee Transmittal, in duplicate	

☒ Other: Request for Continued Examination (RCE) Transmittal



MAR 03 2003

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